245/249 (6046-101D9

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 245/249 (6046-101D9) First Named Inventor: Ronald A. Katz

> Prior Application Information: Examiner: Stella Woo

Art Unit: 2743

**BOX PATENT APPLICATION Assistant Commissioner for Patents** Washington, D. C. 20231

# FILING UNDER 37 CFR § 1.53(B)

This is a request for filing for a

\( \text{continuation} \)

divisional |

continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of pending prior application Serial No. 08/189,405 filed on January 27, 1994, which is a continuation-in-part of application Serial No. 08/154,313 filed on November 17, 1993, now U.S. Patent No. 5,495,284, which is a continuation-in-part of application Serial No. 08/067,783 filed on May 25, 1993, now abandoned, which is a continuation-in-part of application Serial No. 08/031,235 filed on March 12, 1993, now U.S. Patent No. 5,412,708, by

### Ronald A. Katz

entitled:

COMMERCIAL PRODUCT ROUTING SYSTEM WITH VIDEO

**VENDING CAPABILITY** 

For CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

# CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EM542080944US		_	
Express Mail Label No.	,		

2/17/2000 Date of Deposit LA-10900

LA-108607.1

Connie Kwon

Name of Person Mailing Paper

Signature of Person Mailing Paper

#### COPY OF PRIOR APPLICATION AS FILED IS ATTACHED I.

	$\boxtimes$	I hereby verify that the attached papers are a true and complete copy of what is shown in my records to be the above-identified prior application, including the oath or declaration as originally filed. (37 CFR § 1.53)
		44       Page(s) of Written Description         4       Page(s) of Claims         1       Page(s) of Abstract         7       Sheet(s) of Drawings
	$\boxtimes$	Pursuant to 37 § CFR 1.63(d)(1), a newly executed oath or declaration is not required
		A newly executed oath or declaration is filed herewith
		I hereby state that the amendment referred to in the declaration filed to complete the prior application, in accordance with the requirements of 37 CFR § 1.53(b), did not introduce new matter therein.
II.	AME	NDMENTS
		Cancel in this application original Claims <u>2-16</u> of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
		A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
III.	INFO	RMATION DISCLOSURE STATEMENT
	$\boxtimes$	An Information Disclosure Statement, PTO 1449, without copies of citations are submitted herewith.
IV.		TION FOR SUSPENSION OF PROSECUTION FOR THE TIME TO FILE AN NDMENT
		Filed herewith under separate cover is a petition for suspension of prosecution under 37 CFR § 1.103, together with the fee due under 37 CFR § 1.17 (h).

#### FEE CALCULATION (including claims presented in Preliminary Amendment) V.

BASIC FILING FEE:							\$690.00
Total Claims	37		20	=	17	x \$18.00	\$306.00
Independent Claims	1	-	3	=	0	x \$78.00	\$0.00
Multiple Dependent Claims	\$260	(if	applic	able	e)		\$0.00
TOTAL OF ABOVE CALCULATIONS							\$996.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.						\$498.00	
Misc. Filing Fees (Recordation of Assignment)							\$0.00
TOTAL FEES SUBMITTED HEREWITH						\$498.00	

# VI.

VI.	SMALL ENTITY STATUS								
	A Verified Statement to establish small entity under 37 CFR §§ 1.9 and 1.27:								
		is attached.							
	$\boxtimes$	has been filed in the prior application and such status is still proper and desired. [37 CFR § 1.28(a)]							
VII.	DRAV	VINGS							
	[NOT]	E: DO NOT CHECK THIS IF PRIOR CASE IS <u>NOT</u> TO BE ABANDONED.]							
		Transfer the drawings from the prior application to this application and, subject to Item 16 below, abandon said prior application as of the filing date accorded to this application. A duplicate copy of this request is enclosed for filing in the prior application file.  [May only be used if signed by (1) applicant, (2) assignee of record or (3) attorney or agent of record and before payment of issue fee. 37 CFR § 1.138.]							
		Transfer the following sheet(s) of drawings from the prior application to this application.							
	$\boxtimes$	New drawings are enclosed  formal  informal							
VIII.	PRIO	PRIORITY - 35 USC § 119							
		Priority of application Serial No filed on in <u>Country</u> is claimed under 35 USC § 119.							
		The certified copy has been filed in prior U.S. application Serial Noon							

The certified copy will follow.

IX.	RELA	RELATE BACK - 35 USC § 120							
		Amend the Specification by inserting before the first line the sentence:  This is a continuation of co-pending application Serial No filed, which will issue as U.S. Patent No on							
	$\boxtimes$	Relate	e back information included in preliminary amendment or specification.						
Χ.	INVE	NTOR	SHIP STATEMENT						
	$\boxtimes$		respect to the prior co-pending U.S. application from which this application is benefit under 35 USC § 120, the inventor(s) in this application is (are):						
		$\boxtimes$	the same						
			less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:						
			[Name(s) of inventor(s) to be deleted]						
	$\boxtimes$	The in	eventorship for all the claims in this application is:						
		$\boxtimes$	the same.						
			not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.						
XI.	ASSI	GNME:	NT						
	$\boxtimes$	The p	rior application is assigned of record to Telebuyer, LLC.						
	An Assignment of the invention to is attached.								
	Telebuyer, LLC Name of Assignee  9200 Sunset Blvd., Suite 1005, Los Angeles, California 90069 Address of Assignee								
	-	ging Me of perso	ember n authorized to sign on behalf of assignee						
	Assign	nment r	ecorded in PTO on <u>April 2, 1999</u> , Reel <u>9883</u> , Frame <u>0151</u>						

XII.	FEE F	FEE PAYMENT BEING MADE AT THIS TIME						
		Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFF § 1.16(e) can be paid subsequently.]						
	$\boxtimes$	Attached.						
		Filing fees.  Recording assignment. [\$40.00 37 CFR § 1.21(h)(1)]  Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached.  [\$130.00; 37 CFR §§ 1.47 and 1.17(h)]  Petition fee to Suspend Prosecution for the Time Necessary to File an Amendment (New Application Filed Concurrently.)  [\$130.00; 37 CFR §§ 1.103 and 1.17(i)]  For processing an application with a specification in a non-English language.  [\$130.00; 37 CFR §§ 1.52(d) and 1.17(k)]  Processing and retention fee.  [\$130.00; 37 CFR §§ 1.53(f) and 1.21(l)]  Total Fees Enclosed \$498						
		Total Pees Enclosed <u>\$475</u>						
XIII.	METH	HOD OF PAYMENT OF FEES						
		Attached is a check in the amount of						
	$\boxtimes$	Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$498.00.						
XIV.	AUTHORIZATION TO CHARGE ADDITIONAL FEES  The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 12-2475:  37 CFR § 1.16(a) (filing fees)							
	$\boxtimes$	37 CFR § 1.16(b) (presentation of extra claims)						
	$\boxtimes$	37 CFR § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)						
		37 CFR § 1.17 (application processing fees)						

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		37 CFR § 1.18 (issue fee at or before ma CFR § 1.311(b))	iling of Notice of Allowance, pursuant to 37				
XV.	INST	INSTRUCTIONS AS TO OVERPAYMENT					
	$\boxtimes$	Credit Lyon & Lyon's Deposit Account No. 12-2475					
		Refund check					
XVI.	POW	VER OF ATTORNEY					
		The power of attorney in the prior applic	ation is to				
		agents listed below and members of or a	ration is to the registered attorneys and/or ssociates in the law firm of LYON & LYON os Angeles, California 90071, Registration ed to practice in the U.S. Patent and				
	Conr Jame Robe Samu Doug Robe Robe Rich John Willi Coe J. Do John Jame Allar Robe Roy Davi Jame	nrad R. Solum, Jr., Reg. No. 20,467 les W. Geriak, Reg. No. 20,233 pert M. Taylor, Jr., Reg. No. 19,848 nuel B. Stone, Reg. No. 19,297 leglas E. Olson, Reg. No. 22,798 pert E. Lyon, Reg. No. 24,171 legert C. Weiss, Reg. No. 24,939 hard E. Lyon, Jr., Reg. No. 26,300 liam C. Steffin, Reg. No. 26,733 liam C. Steffin, Reg. No. 26,811 leger A. Bloomberg, Reg. No. 25,119 leger W. Geriak, Reg. No. 25,119 leger W. Geriak, Reg. No. 25,119 leger W. Geriak, Reg. No. 20,665 leger W. Geriak, Reg. No. 25,119 leger W. Geriak, Reg. No. 20,667 leger W. Geriak, Reg. No. 20,665 leger W. Geriak, Reg. No. 20,665 leger W. Geriak, Reg. No. 25,119 leger W. Geriak, Reg. No. 20,665 leger W. Geriak, Reg. No. 20	n D. Hemminger, Reg. No. 30,755 d B. Reilly, Reg. No. 32,293 H. Meier, Reg. No. 32,274 A. Rafter, Jr., Reg. No. 31,653 eth H. Ohriner, Reg. No. 31, 646 S. Consalvi, Reg. No. 32,212 M. Kwasigroch, Reg. No. 35,579 ence R. LaPorte, Reg. No. 38,948 et C. Laurenson, Reg. No. 34,206 A. Schneider, Reg. No. 34,923 E. Melville, Reg. No. 34,874 et J. Wise, Reg. No. 34,047 et J. Warburg, Reg. No. 32,327 eena Kuyper Reg. No. 33,830				
	$\boxtimes$	The power appears in the original papers	in the prior application.				
		The power does not appear in the original application.	al papers, but was filed on in this				
	A new power has been executed and is attached.						

Enclosures

 $\boxtimes$ Address all future communications to:

> LYON & LYON LLP 633 West Fifth Street, 47<sup>th</sup> Floor Los Angeles, California 90071 (213) 489-1600 Attention: Reena Kuyper

XVII. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION	ON
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	A petition, fee and response has been filed to extend the term in the pending <b>prior</b> application until A copy of the petition for extension of time in the <b>prior</b> application is attached.				
	A conditional petition for extension of time is being filed in the pending <b>prior</b> application. A copy of the conditional petition for extension of time in the <b>prior</b> application is attached.				
XVIII. ABAN	DONMENT OF PRIOR APPLICATION				
	Please abandon the prior application at a time while the prior application is pendin or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item IX above.				
	Respectfully submitted,				
Dated:	2 17 2000  By: Reena Kuyper  Revenue R				
	Reg. No. 33,830 / California 90071-2066				

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RONALD A. KATZ Applicant: NOT ASSIGNED YET Examiner: UNKNOWN Serial No.: Art Unit: UNKNOWN ON EVEN DATE HEREWITH Filed: COMMERCIAL PRODUCT ROUTING For: SYSTEM WITH VIDEO VENDING CAPABILITY Docket No.: 6046-101C3

# VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27(b))

# INDEPENDENT INVENTOR

As a below named inventor I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled COMMERCIAL PRODUCT ROUTING SYSTEM WITH VIDEO VENDING CAPABILITY described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(d).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below.

No such person, concern or organization.
 Person, concerns or organizations listed below. (Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).)
Full Name
Address
Individual Small Business Concern
Nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or

imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon or any patent to which this verified statement is directed.

Name of person signing: Address of person signing: Ronald A. Katz 570 South Mapleton Drive Los Angeles, California 90024

Dated:	1/27/04	By:	Plune a-		
540041		-	Ronald A. Katz		

Docket No.: 6046-101C3

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